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Subst. Form PTO-1540

Atty. Docket No.: 18525/04011

Serial No.: 09/632,036

APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

Applicant: Kaumaya, et al.

Filing Date: August 3, 2000

Group: 1642

U.S. PATENT DOCUMENTS

Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
SR ↓	AA	5,601,989	February 11, 1997	Cheever, et al.			May 31, 1994
	AB	5,726,023	March 10, 1998	Cheever, et al.			Jun. 6, 1995
	AC	5,773,230	June 30, 1998	Cheever, et al.			Jun. 5, 1995
	AD	5,801,005	September 1, 1998	Cheever, et al.			Mar. 31, 1995
	AE	5,840,525	November 24, 1998	Vandlen, et al.			May 31, 1995
	AF	5,869,445	February 9, 1999	Cheever, et al.			Apr. 1, 1996
	AG	5,876,712	March 2, 1999	Cheever, et al.			Jun. 6, 1995
	AH	6,015,567	January 18, 2000	Hudziak, et al.			Apr. 14, 1995
	AI	6,075,122	June 13, 2000	Cheever, et al.			Jun. 6, 1995
	AJ	6,165,464	December 26, 2000	Hudziak, et al.			Mar. 17, 1998

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subcl.	Translation?
	AK						
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OTHER PRIOR ART

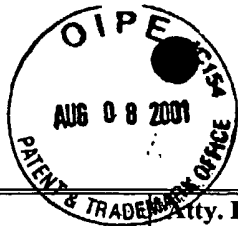
SR ↓	AP	Abstract P752, "Peptide Vaccine Strategies for Immunotherapy of HER-2/NEU Overexpressing Cancers" by Dakappagari, et al., 16 th American Peptide Symposium, Jun 26 - July 1, 1999, Minneapolis, Minnesota.
	AQ	Abstract P442, "Biological Effects of Peptide Antibodies Raised to HER-2/neu. Implications for Therapy of Human Breast Cancer" by Woodbine, et al., The 1997 American Peptide Symposium, June 14-19, 1997, Nashville, Tennessee.
	AR	Abstract MS009, "Peptide Vaccine Strategy for Immunotherapy of Human Breast Cancer Using HER-2/neu Oncogene" by Woodbine, et al., Fourteenth American peptide Symposium, June 18-23, 1995, Columbus, Ohio.
	AS	"Prevention of Mammary Tumors with a Chimeric HER-2 B-cell Epitope Peptide Vaccine" by Dakappagari, et al., <i>Cancer Research</i> , 60, 3782-3789, July 15, 2000.
	AT	"De Novo" Engineering of Peptide Immunogenic and Antigenic Determinants as Potential Vaccines" by Kaumaya, et al., <i>Peptides: Design, Synthesis and Biological Activity</i> , Channa Basava and G.M. Anantharamaiah Editors, 1994, Birkhäuser Boston.

Examiner:

Date Considered:

9/14/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformation with MPEP 609; draw line through citation if in conformance and not considered. Include copy of this form with next communication to applicant.



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Subst. Form PTO-1449

Atty. Docket No.: 18525/04011 TECH CENTER 1600/2900

Serial No.: 09/632,036

APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

Applicant: Kaumaya, et al.

Filing Date: August 3, 2000

Group: 1642

U.S. PATENT DOCUMENTS

Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
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FOREIGN PATENT DOCUMENTS

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OTHER PRIOR ART

SR	AP	Abstract P751 "The Immunogenicity of Peptide Versus DNA Vaccine of an HER-2 CTL Epitope for Breast and Ovarian Cancers" by Jiang, et al., 16 th American Peptide Symposium, June 26 – July 1, 1999, Minneapolis, Minnesota.
SR	AQ	"Evaluation of the immunogenicity of peptide and DNA constructs for HER-2/neu epitopes" by Jiang, et al., <i>Peptides for the New Millennium</i> , (Eds. Fields, G.B., Tam, T.P., and Barany), Kluwer Academic Publisher, Dordrecht, Netherlands, 2000, pp. 695-696.
	AR	
	AS	
	AT	

Examiner: 

Date Considered: 9/14/04

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Subst. Form PTO-1449

Atty. Docket No.: 18525/04011

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Applicant: Kaumaya, et al.

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Group 1642

U.S. PATENT DOCUMENTS

Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
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FOREIGN PATENT DOCUMENTS

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	AO						

OTHER PRIOR ART

SR	AP	"Evaluation of Chimeric B-Cell Epitope of HER-2: Application to Cancer Patients" Dissertation by Naveen K. Dakappagari, M.S., The Ohio State University, Submitted June 1, 2001.
SR	AQ	"Biological Effects of Anti-Peptide Antibodies Against HER-2/NEU Receptor Tyrosine Kinase: Implications for Therapy of Human Breast Cancer" Dissertation by Donna-Beth Woodbine, B.S., The Ohio State University, Submitted 1997, Published August 5, 1998.
	AR	
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